


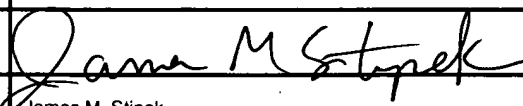
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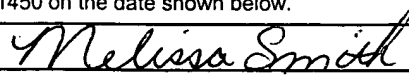
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| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) | | | Matter No. |
| Applicant(s): Walter F. Rausch et al. | | | 1437 |
| Serial No. | Filing Date | Examiner | Group Art Unit |
| 09/718,312 | November 22, 2000 | Duc M. Nguyen | 2685 |
| Invention: System and Method for Processing a Signal | | | |
| <p>I hereby certify that this Transmittal Form (1 page); Notice of Appeal (1 page, in duplicate); authorization to charge Deposit Account No. 21-0765 in the amount of \$500.00 for the appeal fee; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 21-0765; and return post card are being mailed Express Mail Post Office to Addressee to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28th day of February, 2005.</p> | | | |
| <p>Melissa Smith Name of Depositor/Transmitter</p> | | | |
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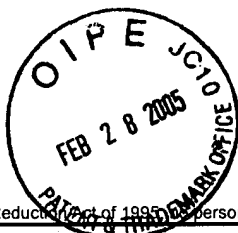
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| TRANSMITTAL FORM  (to be used for all correspondence after initial filing) | Application Number | 09/718,312 |
| | Filing Date | November 22, 2000 |
| | First Named Inventor | Walter F. Rausch |
| | Art Unit | 2685 |
| | Examiner Name | Duc M. Nguyen |
| Total Number of Pages in This Submission | Attorney Docket Number | 1437 |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Mailing Return Post Card |
| Remarks | | |


| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|--|----------|--------|
| Firm | Lathrop & Gage L.C. | | |
| Signature |  | | |
| Printed Name | James M. Stipek | | |
| Date | February 28, 2005 | Reg. No. | 39,388 |

| CERTIFICATE OF MAILING 37 CFR 1.10 | | | |
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| Typed or printed name | Melissa Smith | Date | February 28, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/31 (08-04)
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| NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES | | Docket Number (Optional) 1437 |
|--|---|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on <u>February 28, 2005</u> . Signature <u>Melissa Smith</u> Typed or printed name <u>Melissa Smith</u> | In re Application of Walter F. Rausch et al. | |
| | Application Number 09/718,312 | Filed November 22, 2000 |
| | For System and Method for Processing a Signal | |
| Art Unit 2685 | | Examiner Duc M. Nguyen |
| Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision of the examiner. | | |
| The fee for this Notice of Appeal is (37 CFR 1.17(b)) <div style="text-align: right;">\$ <u>500</u>.</div> | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ ____. | | |
| <input type="checkbox"/> A check in the amount of the fee is enclosed. | | |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. | | |
| <input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet. | | |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <u>21-0765</u> . I have enclosed a duplicate copy of this sheet. | | |
| <input type="checkbox"/> A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed. | | |
| WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | |
| I am the <input type="checkbox"/> applicant/inventor. <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) <input checked="" type="checkbox"/> attorney or agent of record. Registration number <u>39,388</u> <input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34. ____. | | <div style="text-align: right;"> Signature <u>James M. Stipek</u> Typed or printed name <u>(816) 460-5848</u> Telephone number <u>February 28, 2005</u> Date</div> |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*. | | |

☒ *Total of 2 forms are submitted.

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